



BUCKET NO.: COLB-0083

ECTOR \$ #4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Gruper et al.

Serial No.: 08/937,883

Group No.: 2785

Filed: September 25, 1997

Examiner: not yet assigned

For: SOFTWARE APPLICATION ENVIRONMENT

I, Lawrence A. Aaronson, Registration No. 38,369 certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On

February 11, 1997

Lawrence A. Aaronson Reg. No. 38,369

Box Missing Parts  
Assistant Commissioner for Patents  
Washington DC 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the "Notice to File Missing Parts of Application--Filing Date Granted" dated February 5, 1998, a response to which is due April 5, 1998, enclosed herewith for filing is:

(XX) The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. EM405882419US on September 25, 1997.

( ) An Associate Power of Attorney is also enclosed.

(XX) Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a Verified Statement previously submitted on November 25, 1997.

( ) The original executed Verified Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 is filed herewith.

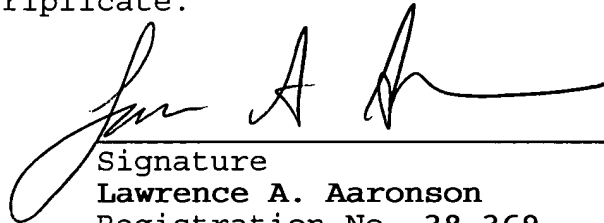
( ) A Verified Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 has been forwarded to the Refund Section, Accounting Division, Office of Finance on \_\_\_\_\_. A copy of this Verified Statement Claiming Small Entity Status form and the Request for Refund form is enclosed for your convenience.

(XX) A check in the amount of \$ 65.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

( ) Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$ \_\_\_\_.

This sheet is attached in triplicate.

Date: February 11, 1998

  
\_\_\_\_\_  
Signature  
Lawrence A. Aaronson  
Registration No. 38,369

WOODCOCK WASHBURN KURTZ  
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
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SWE/LAA

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/937,883	09/25/97	GRUPER	5 COLB-0083

0292/0205

JOHN W. CALDWELL  
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ONE LIBERTY PLACE 46TH FLOOR  
PHILADELPHIA PA 19103

NOT ASSIGNED

2785

DATE MAILED: 02/05/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
***Filing Date Granted***

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 65 for a ☐ large entity ☒ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

***If all required items on this form are filed within the period set above, the total amount owed by applicant as a***  
☐ large entity ☒ small entity (verified statement filed), is \$ 65.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

*Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).*

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
- ☒ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

*See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."*

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

***A copy of this notice MUST be returned with the response.***

*M. Swift*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202